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Interfacial Stability of Layered LiNi_xMn_yCo_{1-x-y}O₂ Cathodes with Sulfide Solid Electrolytes in All-Solid-State Rechargeable Lithium-Ion Batteries from First-Principles Calculations

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Hideyuki Komatsu, Swastika Banerjee, Manas Likhit Holekevi Chandrappa, Ji Qi, Balachandran Radhakrishnan, Shigemasa Kuwata, Kazuyuki Sakamoto, and Shyue Ping Ong*



ABSTRACT: Among the key impediments to the practical application of all-solid-state lithium-ion batteries are the reactions occurring at the interfaces between the electrode active material, the solid electrolyte, and conductive additives such as carbon. Here, we provide in-depth insights into the relationship between composition and interfacial stability with sulfide solid electrolytes for the layered LiNi_xMn_yCo_{1-x-y}O₂ (NMC) cathodes in wide-spread commercial applications today using density functional theory calculations. We show that increasing the Ni content and, to a lesser extent, increasing the Co content, has the effect of increasing reactivity with the Li₆PS₅Cl (LPSCl) solid electrolyte. This suggests that current efforts to reduce the Co content in cathodes may compromise potential application in all-solid-state architectures. However, we also find that common SEI phases such



as Li_2CO_3 , surface phases such as NiO, and oxide buffer layers such as $LiNbO_3$ generally exhibit only limited reactivity with either $LiMO_2$ or LPSCl. Hence, these phases, formed either in operando or added during synthesis, can potentially serve as effective barriers against further reaction, provided a uniform coating can be achieved.

■ INTRODUCTION

All-solid-state batteries (ASSBs) are one of the most promising candidates for next-generation energy storage, owing to their advantages in balancing safety, high capacity, and higher power.¹ A schematic of an ASSB is given in Figure 1. Unlike conventional lithium-ion batteries utilizing liquid electrolytes, the use of a solid electrolyte (SE) makes the battery less flammable and, therefore, safer.² In addition, SEs can potentially enable the application of the Li metal anode, which is expected to lead to substantial increases in energy density.³ The most well-established commercial cathodes are transition metal (TM) layered oxides with the general formula $LiMO_2$ (LMO), where M is either a single transition metal (e.g., Co or LCO) or a mixture of transitional metals (e.g., $Ni_xMn_yCo_{1-x-y}$ or NMC). In recent years, there has been a push toward reducing the use of expensive Co metal in cathodes, i.e., the so-called Ni-rich NMCs.4-7

While there has been great progress in the development of SEs with extremely high ionic conductivities,^{8–12} the chemical, electrochemical, and mechanical stabilities of the heterogeneous solid–solid interfaces in ASSBs remain key impediments to their widespread application. In particular, sulfide SEs,



Figure 1. Schematic diagram of an all-solid-state lithium-ion battery and the interfaces present between the cathode active material, solid electrolyte, and carbon additives.

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which have exhibited the highest ionic conductivities in general, have relatively poor intrinsic chemical and electrochemical stabilities, especially against commercial oxide cathodes.^{13–25} For instance, chemical reactivity and mutual diffusion have been demonstrated both experimentally and theoretically at the LCO and the sulfide SE ($\text{Li}_2\text{S}-\text{P}_2\text{S}_5^{26}$ and $\text{Li}_3\text{PS}_4^{24}$) interface. Cells based on uncoated LCO and sulfide SEs exhibit increasing interfacial resistance upon cycling and poor cell characteristics compared to traditional liquid electrolyte cells.²⁷ Other common cathodes such as LiMn_2O_4 , NMC, and NCA have also been reported to exhibit similar interfacial instability with sulfide SEs.^{14,20} Interfacial reactivity can be mitigated to some extent by coating the cathode with oxides such as LiNbO_3 ,²⁷ though with potentially additional cost and decreased cell performance.²⁰

Several gaps remain in our understanding of cathode/SE interfacial reactivity. First, most computational studies¹³ have focused on the single-TM layered oxides, and less emphasis has been placed on the mixed-TM NMC cathodes that increasingly dominate commercial applications. In particular, there is a lack of understanding as to the effect of the broader trend toward lowering Co/increasing Ni content on the interfacial reactivity in ASSBs. Second, besides the active material, other phases such as additive carbon and Licontaining impurities (e.g., Li₂CO₃) are present in the cathode, and their effect on interfacial reactivity is typically ignored in computational studies. Most of the research on the effect of carbon on interfacial reactivity is on the carbon/SE interface.^{21,28–30}

In this work, we provide an analysis of interfacial reactivity between the NMC cathode active material, carbon additive, and the highly promising $\text{Li}_6\text{PS}_5\text{Cl}$ argyrodite (LPSCl) SE in ASSBs based on density functional theory (DFT) calculations. A crucial finding is that an increased Ni content leads to increased interfacial reactivity, indicating that there is a tension between current trends to reduce the use of expensive Co and the effort to realize safer ASSB architectures.

METHODS

Materials Selection and Data. Where available, the precomputed structures and energies were obtained from the Materials Project.^{31–33} Any DFT calculations were performed using the Vienna *Ab initio* Simulation Package (VASP)³⁴ within the projector-augmented wave approach³⁵ using the Perdew-Bruke-Ernzerhof (PBE) generalized gradient approximation (GGA) functional.³⁶ The key parameters were chosen to be compatible with the existing calculations in the Materials Project database, such as a plane wave energy cutoff of 520 eV, a *k-point* density of at least 1000/(number of atom), and Hubbard *U* parameters of 6.20, 3.32, and 3.90 eV for Ni, Co, and Mn, respectively, for oxides.

Figure 1 provides an overview of the interfaces between the cathode active material, SE, and conductive additives investigated. The specific materials investigated in this work, together with their corresponding Materials Project identifier, are as follows:

1. Cathode active material: Our goal is to investigate the effect of composition within the layered Li- $Ni_yMn_zCo_{1-y-z}O_2$ (NMC) O3 oxides (space group: R3m) on interfacial stability. As such, we have selected LiCoO₂ (LCO, mp-22526), LiNiO₂ (LNO, mp-25411), LiNi_{0.5}Mn_{0.5}O₂ (NM11, mp-754945), Li-

 $Ni_{1/3}Mn_{1/3}Co_{1/3}O_2$ (NMC111, mp-1222510), and LiNi_{5/6}Mn_{1/12}Co_{1/12}O₂ ("high-Ni", mp-1120802) for investigation. It should be noted that the chosen "high-Ni" composition corresponds to a Ni:Mn:Co ratio of 10:1:1, which approximates the commercial NMC811 composition within a reasonable 2 × 2 × 1 supercell of the conventional layered unit cell (48 atoms). We also considered LiNi_{0.8}Co_{0.15}Al_{0.05}O₂ (NCA) as a commercial cathode active material. The NCA structure was generated by substituting Co to Al based on the lowest energy configuration of LiNi_{0.8}Co_{0.2}O₂. Collectively, these cathode materials shall henceforth be referred to as LMO for brevity.

- 2. Solid electrolyte: The Li₆PS₅Cl argyrodite (LPSCl, mp-985592) was selected as the SE because of its high ionic conductivity ($\sigma = 1.33 \times 10^{-3}$ S cm⁻¹ at 25 °C)³⁷ and good cell performance.³⁸ In addition, the passivation phase generated at the interface between the cathode coating layer and LPSCl during the initial charge is highly stable.²⁰
- 3. Additive: We have selected the most stable graphite structure from MP (C, mp-569304) as a conductive additive carbon.

Chemical, Electrochemical, and Mechanical Stability. The chemical, electrochemical, and mechanical stability of the interfaces were evaluated using several metrics that have already been well-established in prior works.^{39–44} Here, we will provide a brief summary and clarify the definitions as they are used in this work. Interested readers are also referred to those prior works for further details.

A schematic of a pseudobinary phase diagram between A and B, for instance, between an electrode and a solid electrolyte, is shown in Figure 2. Following Zhu et al.,³⁹ we define the mutual reaction energy $\Delta E_{D, mutual}(A, B)$ of the interface between two materials A and B (e.g., a cathode active material and a solid electrolyte) as the difference between the convex hull energies as the materials A and B at a particular ratio x and the corresponding linear combination of the hull



Figure 2. Schematic of a pseudobinary phase diagram between two materials A and B. Gray circles indicate the energies of A and B, which are assumed to be above the convex hull (black circles). The distance of A/B from the convex hull is the decomposition energy of A/B $(\Delta E_D(A/B))$. The minimum of the mutual reaction energy ΔE_D , min, mutual(A, B) is indicated in the figure, and the composition x_m at which this occurs is indicated by the red circle. The corresponding total reaction energy is $(\Delta E_D, \min, total(A, B))$ and includes the decomposition energies of A and B.

energies at the compositions of A and B (c_A and c_B). The minimum of the mutual reaction energy $\Delta E_{D, min, mutual}(A, B)$ is given by

$$\Delta E_{D,min,mutual}(A, B) = \min_{x \in [0,1]} \frac{1}{N} \{ E_{eq}[xc_A + (1-x)c_B] - xE[c_A] - (1-x)E[c_B] \}$$
(1)

where x is the ratio of A, $E[c_A]$ and $E[c_B]$ are the DFT total energies at the convex hull at the composition of phases A and B, respectively, and N is a normalization factor, which is equal to the total number of atoms involved in the reaction. $E_{eq}(c)$ is the energy of phase equilibria at composition c. It should be noted that the mutual reaction energy does not include the decomposition energies of phases A and B. We may also define a corresponding total reaction energy $\Delta E_{D, min, total}(A, B)$, which includes the linear combination of the decomposition energies of A and B ($\Delta E_D(A)$ and $\Delta E_D(B)$, respectively). By definition, $|\Delta E_{D,min,mutual}(A, B)| \ge |\Delta E_{D,min,mutual}(A, B)|.$ Both $\Delta E_{D, min, mutual}(A, B)$ and $\Delta E_{D, min, total}(A, B)$ can be considered estimates of the chemical stability of the interface. The more negative the $\Delta E_{D, min, mutual}(A, B)$ or $\Delta E_{D, min, total}(A, B)$, the greater the thermodynamic driving force for the reaction between A and B. The difference between $\Delta E_{D, min, mutual}(A, B)$ and $\Delta E_{D, min, total}(A, B)$ is the contribution of the metastability of the end members to the interfacial instability. All DFT total energies were adjusted using the mixing scheme implemented within the Materials Project for phase stability analysis using a mix of GGA calculations with or without Hubbard (+U).⁴

Interfaces in rechargeable lithium-ion batteries, however, are open with respect to (wrt) lithium. The relevant thermodynamic potential under such conditions is the grand potential wrt Li, given by $\Phi = E - \mu_{Li}N_{Li}$, where μ_{Li} is the chemical potential of Li, and N_{Li} is the number of Li. The chemical potential of Li can be related to the applied voltage ϕ in a rechargeable battery as $\phi = \frac{\mu_{Li} - \mu_{Li}^0}{e}$, where μ_{Li}^0 is the chemical potential of bulk Li, and e is the electron charge. Similarly, we can define a mutual and total reaction grand potential $\Delta \Phi E_{D, min, mutual}(A, B)$ and $\Delta \Phi_{D, min, total}(A, B)$ for the reaction between A and B, which can be obtained by constructing the pseudobinary phase diagram between A and B using the calculated grand potentials for a given μ_{Li} (or equivalently, applied potential). $\Delta \Phi_{D, min, mutual}(A, B)$ and $\Delta \Phi_{D, min, total}(A, B)$ can therefore be interpreted as a measure of the *electrochemical* stability of the interface. It should be noted that for grand potential analysis, the normalization factor N is given by the total number of atoms excluding Li.

Finally, volume changes during the operation of a battery can result in fracture and/or a loss of contact at the interface, therefore, adversely affecting electrochemical performance. We can estimate the percentage volume change ΔV as a result of the reaction at a heterogeneous interface by comparing the volumes of products of the reaction to that of the reactants in the reaction. The final DFT relaxed volumes of each reactant/ product are used in this estimate. A negative ΔV means that the volume of the products is smaller than that of the reactants, leading to the formation of voids. On the other hand, a positive ΔV means that the volume of the products is larger than that of the reactants, which may cause the buildup of stresses and cracks at the interface.

RESULTS AND DISCUSSION

LMO/LPSCI Interfaces. Figure 3 shows the calculated chemical reaction energies between various LMO/LPSCI



Figure 3. Pseudobinary phase diagram of LMO/LPSCI. The star marker indicates the most negative reaction energy and the thermodynamically favored reaction.

interfaces and the corresponding reaction products are listed in Table S1. Among the single-TM layered oxides, LNO is predicted to have a higher reactivity with the LPSCl SE than LCO. The reaction energy between LCO and LPSCl is about -320 meV/atom, which is consistent with previously reported reaction energies between LCO and other sulfide SEs (LPS and LGPS).³⁹ One common reaction product is Li₃PO₄, which arises from S for O exchange reactions in the LPSCl solid electrolyte.²⁴ Interestingly, the Ni-containing NMC111 and NM11 are predicted to have substantially lower reactivity (higher reaction energies) than LNO and LCO. One potential explanation for this observation is the existence of Mn. Mn is in the 4+ oxidation state in the layered cathode, and even upon reaction with the SE, it either retains a formal 4+ oxidation state (e.g., MnS_2^{46}) or is only slightly reduced to a 3.5+ oxidation state (e.g., $LiMn_2S_4$ spinel⁴⁷). When Mn is present, Li₃PO₄ is the only reaction product containing the oxygen anion, and the S^{2-} anion does not oxidize. In the absence of Mn, however, the Ni and Co undergo substantial reduction, with the concomitant oxidation of the S^{2-} anion to form Li₂SO₄. The formation of metal sulfides and Li₂SO₄ with large valence changes is likely the main factor of the more exothermic reaction energy. However, further increase in the Ni content in the NMC system, i.e., the high-Ni composition, results in a substantial increase in reactivity with LPSCl.

Figure 4 shows the calculated ternary contour diagram of the reactivity of LMO/LPSCl interfaces within the Ni-Mn-Co system. The ternary contour diagram of the reactivity of the LiNi_xMn_yCo_{1-x-y}O₂/LPSCl interface was constructed using 32 existing LiNi_vMn_zCo_{1-v-z}O₂ layered materials in the Materials Project database (see Table S2), including the three end members (LNO, LCO, and LMO), 24 binaries (Li- $Ni_xMn_{1-x}O_2$, $LiMn_xCo_{1-x}O_2$, and $LiCo_xNi_{1-x}O_2$), and 4 ternary compositions. The heat map was obtained by linearly interpolating between these data points. The stability order of the interface with LPSCl is Mn > Co > Ni. Even for LiMnO₂, the reaction energy is exothermic at around $\sim -200 \text{ eV/atom}$. This qualitative trend is in general agreement with previous reports. Auvergniot et al.¹⁴ previously studied the reactivity of LCO, NMC111, and LiMn₂O₄ with LPSCl using XPS. It was found that LCO and NMC111 exhibited similar levels of



Figure 4. Ternary contour diagram of the reaction energy at the LMO/LPSCl interfaces. The 32 LiNi_yMn_zCo_{1-y-z}O₂ layered compositions that were already present in the Materials Project are labeled with round markers and tabulated in Table S2. The heat map is obtained via interpolation from the computed reaction energies of the marked compositions. The red dashed line connecting LCO and LiNi₀₋₇Mn₀₋₃O₂ indicates the trend line of the Co less cathode active material maintaining the same chemical stability.

chemical reactivity with LPSCl, in line with our results. From Figure 4, it may be readily observed that increasing the Ni content leads to increased reactivity with the LPSCl solid electrolyte, suggesting an inherent trade-off between the Ni content and interfacial stability in NMC-based all-solid-state battery systems.

Figure 5a shows the mutual and total reaction grand potential $\Delta \Phi_{D, min, mutual}$ and $\Delta \Phi_{D, min, total}$, respectively, of LMO/LPSCl interfaces as a function of applied potential. While the $\Delta \Phi_{D, \mbox{ min ,mutual}}$ and $\Delta \Phi_{D, \mbox{ min ,total}}$ remain relatively similar up to an applied potential of around 3 V, the differences widen significantly at the high voltage region. Above 4.5 V, the type of cathode active material has little effect on $\Delta \Phi_{D, min, totab}$ indicating that much of the interfacial instability arises from the narrow electrochemical window of the solid electrolyte LPSCl itself (see Figure S3).^{13,44} This observation is consistent with the results on the LCO/LPS and LCO/LGPS interfaces by Zhu et al.³⁹ The plot of the reaction molar ratio against the applied potential (Figure 5b) clearly shows that the LPSCl accounts for a large proportion of the reaction molar ratio at high voltage, while the cathode active material accounts for the majority of the reaction molar ratio at the low voltage region. However, the higher the Ni ratio, the more the active material tends to contribute to the decomposition reaction even at higher potentials.

LMO/Carbon and LPSCI/Carbon Interfaces. Carbon is a common additive used to increase the electronic conductivity in the electrodes.⁴⁸Figure 6a and 6b show the reaction energies between various LMO/C and LPSCI/C interfaces, respectively. Somewhat surprisingly, we find that the LMO/C interface is chemically unstable. The trends in chemical stability of the LMO/C interface are similar to those for the LMO/LPSCI interfaces. The reaction products are dominated by the formation of Li_2CO_3 and transition metal carbonates as shown in Table S1. These results are consistent with recent experimental reports of the formation of Li_2CO_3 with carbon coating on the high-Ni cathode.⁴⁹ The formation of carbonates, which are well-known solid electrolyte interphase (SEI) components in traditional liquid electrolyte LIBs, may



Figure 5. (a) The mutual reaction grand potential $\Delta \Phi_{D, \min, mutual}$ (solid lines) and the total reaction grand potential $\Delta \Phi_{D, \min, mutual}$ (dashed lines) under applied potential ϕ in a 2–5 V range. (b) The relationship between the applied potential and the reaction ratio x_m of the most exothermic decomposition reaction.

serve as a passivation layer that prevents further reaction.^{50–53} Phase transformations occurring at the electrode surface, such as the transformation of the layered cathode to rock-salt structure such as NiO,⁵⁴ may also protect the electrode against further reaction with C. Figure 6c and 6d show the calculated chemical and electrochemical reaction energies at 4.5 V between LMO/LPSCI/C and various surface/interphase/ coating phases, respectively. In general, the LMO cathodes as well as C additive are predicted to be relatively chemically stable against NiO surface phases and LiNbO₃ coating as well as the Li₂CO₃ interphase. However, under an applied potential, the reactivity of the C additive substantially increases.

While the LPSCl SE is still predicted to be chemically and electrochemically unstable against the oxide surface/interface phases, the magnitudes of the reaction energies against the LiNbO₃ buffer layer and Li₂CO₃ interphase are much smaller in magnitude compared to that against the active LMO cathode or the NiO surface phase. Furthermore, any reaction between LPSCl and these oxides results in the formation of highly stable Li₃PO₄ via a S²⁻ for O²⁻ exchange reaction, consistent with previous studies.^{23,43,55} This Li₃PO₄ may also serve as a potential passivating layer against further reactions.

The LPSCI/C interface is predicted to be chemically stable, which is consistent with XPS measurements on the pristine LPSCI-C electrode composite previously reported by Tan et al.²⁹ The XPS results for the S 2p and P 2p regions show no signals from other components in the pristine electrode for the



Figure 6. (a) Pseudobinary phase diagram of the LMO/C interface. (b) Pseudobinary mutual reaction grand potential $\Delta \Phi_{D,mutual}$ phase diagram under different applied potentials of the LPSCI/C interface. The star marker indicates the most thermodynamically favored reaction under each condition. (c) Chemical reaction energies and (d) electrochemical reaction energies at 4.5 V between LMO/LPSCL/C and surface/interphase/ coating phases. LiNbO₃ is used as a representative oxide cathode coating material studied extensively in the literature.

electrode, which was well mixed by ball milling for 2 h at room temperature and at 300 rpm.

Volume Change after Interfacial Reaction. Volume changes at the electrode/solid electrolyte interphase can lead to contact loss and/or cracking, severely degrading cycling performance. Based on the predicted decomposition reactions in the preceding sections, we have estimated the percentage volume changes due to the interfacial reactivity, as shown in Figure 7. In all cases, LMO/LPSCl interfacial chemical reactions result in volume shrinkages exceeding 10%. The main reason for the shrinkage is the formation of Li₃PO₄, Li₂SO₄, LiCl, and metal sulfide, which have much higher densities (Tables S4 to S9). At a voltage of 4.5 V, the volume shrinkage is even larger at >30% due to the extraction of Li from the interface. We therefore expect interfacial reactions to result in contact loss between the active material and the SE. It should be noted that it is well-known that the volume of Nibased cathode active materials shrinks upon delithiation, which would further exacerbate the contact loss at high voltages.⁵⁶ In contrast, the LMO/C interfacial chemical reactions result in a small volume expansion of 10% or less, which may somewhat mitigate the volume contraction due to LMO/LPSCl reactions. Here, the formation of Li₂CO₃, which accounts for 60% or more of the reaction product by volume ratio, is responsible for the volume expansion (Tables S4 to S9). However, the LMO/ C interfacial electrochemical reactions at high voltages also result in substantial volume contraction of 17-23%, which is again associated with the extraction of Li from the system.

CONCLUSIONS

To conclude, we have carried out a comprehensive study of layered LMO cathode/LPSCl interfaces using DFT calcu-

lations. The key finding is that there is a inherent trade-off between the overall drive toward reducing the Co content in layered LMO cathodes in recent years and the interfacial stability with solid electrolytes such as LPSCl. The higher Ni content leads to higher interfacial reactivity with LPSCl. The ternary contour plot as shown in Figure 4 provides a highly useful design tool. For instance, the plot suggests that one potential strategy to reduce the Co content while maintaining the same chemical stability as LCO is to move along the tieline connecting LCO and LiNi0.7Mn0.3O2, which has been reported as a Co-free cathode with high reversible capacity and cyclability.⁵⁷ We also find that the LMO/LPSCl interfaces become much more reactive at high voltages. Another major issue associated with cathode/SE interfaces is the volume change as a result of interfacial reactions. Large volume reductions of between 10% and 34% are predicted for LMO/ LPSCl interfacial reactions, which would lead to potential contact loss between the cathode and the SE.

In addition, we also investigated the effect of the common carbon additive as well as other potential surface/interphase phases on the chemical reactivity at the interface. While C itself is predicted to be highly reactive with both LMO and LPSCl, one of the main products of the reaction with LMO is Li_2CO_3 , a common SEI phase found in traditional liquid-electrolyte LIBs. A key result is that the Li_2CO_3 SEI phase, surface phases such as NiO, and oxide buffer layers such as LiNbO₃ generally exhibit only limited reactivity with either LMO or LPSCl. Hence, these phases, formed either in operando or added during synthesis, can potentially serve as effective barriers against further reaction, provided a uniform coating can be achieved.



Figure 7. Percentage volume changes due to the chemical reaction and electrochemical reaction at 4.5 V at LMO/LPSCl (left) and LMO/C interfaces (right).

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at

https://pubs.acs.org/doi/10.1021/acs.jpcc.2c05336.

Mutual reaction grand potential $\Delta \Phi_{D,mutual}$ and total reaction grand potential $\Delta \Phi_{D,total}$ phase diagram under different applied potentials of LCO/LPSCl and Li₂CO₃/ LPSCl interfaces; equilibrium voltage profiles of LPSCl with corresponding phase equilibria; total reaction grand potential $\Delta \Phi_{D,total}$ phase diagram under different applied potentials of LPSCI/C interface; mutual reaction grand potential $\Delta \Phi_{D,mutual}$ phase diagram under different applied potentials of Li₂CO₃/C interface; total reaction grand potential $\Delta \Phi_{D,total}$ phase diagram under different applied potentials of Li₂CO₃/C interface; most probable reaction products and reaction energy of LMO/LPSCl and LMO/C interfaces; sources of crystal structures and reaction energies of ternary diagram of LMO/LPSCl interfaces; reaction formula and valence state of the composition in LMO/LPSCl interfaces; and sources of volume change of LMO/LPSCl and LMO/C interfaces (PDF)

AUTHOR INFORMATION

Corresponding Author

Shyue Ping Ong – Department of NanoEngineering, University of California, San Diego, La Jolla, California 92093-0448, United States; orcid.org/0000-0001-5726-2587; Email: ongsp@eng.ucsd.edu

Authors

- Hideyuki Komatsu Department of NanoEngineering, University of California, San Diego, La Jolla, California 92093-0448, United States; Nissan Research Center, Nissan Motor Co., Ltd., Yokosuka, Kanagawa 237-8523, Japan;
 orcid.org/0000-0002-3622-7296
- Swastika Banerjee Department of NanoEngineering, University of California, San Diego, La Jolla, California 92093-0448, United States
- Manas Likhit Holekevi Chandrappa Department of NanoEngineering, University of California, San Diego, La Jolla, California 92093-0448, United States; © orcid.org/ 0000-0002-7397-3087
- Ji Qi Materials Science and Engineering Program, University of California San Diego, La Jolla, California 92093-0448, United States
- Balachandran Radhakrishnan Alliance Innovation Laboratory, Nissan North America, Inc., Santa Clara, California 95051, United States
- Shigemasa Kuwata Alliance Innovation Laboratory, Nissan North America, Inc., Santa Clara, California 95051, United States
- Kazuyuki Sakamoto Nissan Research Center, Nissan Motor Co., Ltd., Yokosuka, Kanagawa 237-8523, Japan

Complete contact information is available at: https://pubs.acs.org/10.1021/acs.jpcc.2c05336

Author Contributions

H.K. computed all calculations. All authors contributed to the discussion and interpretation of results. S.B., S.K., K.S., and S.O. supervised the work and provided valuable feedback. H.K. and S.O. wrote the main manuscript. All authors reviewed the paper.

Notes

The authors declare no competing financial interest.

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